#### IAP7 Rec'd PCT/PTO 01 AUG 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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# TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 4256/97923

CONCERNING A SUBMISS	•	U.S. APALICATION-NO (Hyknown see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/CA2006/000670	INTERNATIONAL FILING DATE April 28, 2006	PRIORITY DATE CLAIMED May 20, 2005
TITLE OF INVENTION	7.011 20, 2000	Iviay 20, 2003
COVE ILLUMINATION MODULE AND	SYSTEM	
APPLICANT(S) FOR DO/EO/US Peter Kan, Adrian Weston, George E.	Matheson Damon Campbell Lawre	nce Schmeikal, Stenhen Flood
		/US) the following items and other information:
1. This is a FIRST submission of items	concerning a submission under 35 U.S.C. 37	1.
2. This is a SECOND or SUBSEQUEN	T submission of items concerning a submission	on under 35 U.S.C. 371.
3. This is an express request to begin r (5), (6), (9) and (21) indicated below.	national examination procedures (35 U.S.C. 3	71 (f)). The submission must include items
4.  The US has been elected (Article 3).	•	
5. A copy of the International Application	n as filed (35 U.S.C. 371 (c)(2))	
a. 🛛 is attached hereto (requi	red only if not communicated by the Internation	nal Bureau).
b. has been communicated	by the International Bureau.	
c.  is not required, as the ap	plication was filed in the United States Receiv	ring Office (RO/US).
6. An English language translation of the	e International Application as filed (35 U.S.C.	371 (c)(2)).
a. is attached hereto.		
b. has been previously sub	mitted under 35 U.S.C. 154(d)(4).	
7.	ernational Application under PCT Article 19 (3	5 U.S.C. 371(c)(3))
a. are attached hereto (requ	ired only if not communicated by the Internati	ional Bureau),
b. have been communicate	d by the International Bureau.	
c. have not been made; how	wever, the time limit for making such amendm	ents has NOT expired.
d. have not been made and	will not be made.	
. 8. An English language translation of th	e amendments to the claims under PCT Artic	le 19 (35 U.S.C. 371 (c)(3)).
9. An oath or declaration of the invento	r(s) (35 U.S.C. 371 (c)(4))	
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary Ex	amination Report under PCT
Items 11 to 20 below concern document	s) or information included:	
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.	
12. An assignment document for recordi	ng. A separate cover sheet in compliance with	n 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.		
14. An Application Data Sheet under 37	CFR 1.76.	
15. A substitute specification.		
16. A power of attorney and/or change o	f address letter.	
17. A computer-readable form of the sec	uence listing in accordance with PCT Rule 13	Ster.2and 37 CFR 1.821- 1.825.
18. second copy of the published Interna	ational Application under 35 U.S.C. 154(d)(4).	
19. A second copy of the English langua	ge translation of the international application (	under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

American LegalNet, Inc. www.USCourtForms.com

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/588019	INTERNATIONAL PCT/CA2006/00067		ATION NO.	ATTORNEY'S DC 4256/97923	OCKET NUMBER
20. Other items or information:					
The following fees have been submitted	·	<u></u>		CALCULATIONS	PTO USE ONLY
21. Basic national fee (37 CFR 1.492(a))		\$	300	\$ 300.00	
22. Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/Us or the internation by IPEA/US indicates all claims satisfy provision All other situations				\$ 200.00	
23. Search fee (37 CFR 1.492(b))  If the written opinion prepared by ISA/Us or the internationa IPEA/US indicates all claims satisfy provisions o Search fee (37 CFR 1.445(a)(2)) has been paid on the in International Searching Authority	of PCT Article 33(1)-(4)  International application to  International application to	the USP	\$0 TO as an \$100 ce or \$400	\$ 500.00	
TOTAL OF 21, 22 and 23 =	<del></del>			\$ 1,000.00	
Additional fee for specification and drawings filed in sequence listing in compliance with 37 CFR 1.82 electronic medium) 37 CFR 1.492(j))  The fee is \$250 for each additional 50 sheets of  Total Sheets Extra Sheets Number of each additional 50	21(c) or (e) or computer pr		sting in an		
	to a whole number)	***			
48 -100 = /50 =    Surcharge of \$130.00 for furnishing any of the search feafter the date of commencement of the national stage (3	e, examination fee, or the	oath or o		\$	
CLAIMS NUMBER FILED	NUMBER EXTRA		RATE	\$	l
Total claims 14 -20 =	. 0	х	\$50	\$	
, Independent claims 1 -3 =	0	Х	\$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+	\$360	\$	
	TOTAL OF ABO	VE CAL	CULATIONS =	\$ 1,000.00	
Applicant claims small entity status. See 37 CFR 1	1.27. Fees above are redu	ced by 1/2	<b>2</b> .		
			SUBTOTAL =	\$ 1,000.00	<u></u>
Processing fee of \$130.00 for furnishing the English tranclaimed priority date (37 CFR 1.492(i)).	slation later than 30 mont	hs from t	he earliest +	\$	
	TO	TAL NA	IONAL FEE =	\$	1,000.00
Fee for recording the enclosed assignment (37 CFR 1.2 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.		st be acc	companied +	\$	
	TOTA	L FEES	ENCLOSED =	\$	1,000.00
				Amount to be refunded:	\$
				Amount to be charged:	\$

### 10/588019

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а. [	$\boxtimes$	A check in the amount of \$ 1,000.00 to cover the above fees is enclosed	JAP20 Rec'd PCT/PTO 01 AUG 2006
ь. [		Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.	_ to cover the above fees.
с. [	$\boxtimes$	The Commissioner is hereby authorized to charge any additional fees whaccount No. 23-0920. A duplicate copy of this sheet is enclosed.	nich may be required, or credit any overpayment to Deposit
d. [		Fees are to be charged to a credit card. WARNING: Information on this to be included on this form. Provide credit card information and authorization.	
		here an appropriate time limit under 37 CFR 1.495 has not been met, ted to restore the International Application to pending status.	a petition to revive (37 CFR 1.137(a) or (b)) must be filed
Geral WELS 120 S 22nd Chica Telep	d T. SH & South Floo go, hon	L CORRESPONDENCE TO: Shekleton KATZ, LTD. Riverside Plaza Cor Illinois 60606-3912 Le (312) 655-1500 Le (312) 655-1501	SIGNATURE  GERALD T. SHEKLETON  NAME  27,466  REGISTRATION NUMBER
7			

## ¿AP20 Rec'd PCT/PTO 01 AUG 2006

Applicant(s): Peter KAN et al.  Serial No. Designated Designated Peter KAN et al.  Filing Date August 1, 2006  Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 USC 371; Specification (29 pp), Drawings (19 sheets); Check No. 101144 for \$1000.00 Preliminary Amendment; Application Data Sheet; Certificate of Express Mail and Postcard.  EXPRESS MAIL LABEL NO.: EV 731 140 925 US  is being deposited with the United States Postal Service "Express Mail Post Office to Addressed service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for		CERTIFICATE OF MA	ILING BY "EXPRESS	MAIL" (37 CFR 1.10)	Docket No.
Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 USC 371; Specification (29 pp), Drawings (19 sheets); Check No. 101144 for \$1000.0 Preliminary Amendment; Application Data Sheet; Certificate of Express Mail and Postcard. EXPRESS MAIL LABEL NO.: EV 731 140 925 US  is being deposited with the United States Postal Service "Express Mail Post Office to Addressed service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for		Applicant(s): Peter KA	N et al.		4256/97923
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service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for		Preliminary Amendment EXPRESS MAIL LABEL NO	nt; Application Data S D.: EV 731 140 925 US	heet; Certificate of Expres	ss Mail and Postcard.
Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 1, 2006.					
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**CARL STANLEY** 

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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